

02/14/02

JC759 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL
(For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No: 5717-01401

Inventor(s):
Yonglin Huang, Qi Deng and Liren
Du

Title: OPTICAL POLARIZATION
BEAM
SPLITTER/COMBINER
WITH ISOLATION IN THE
BACKWARD OPTICAL
PATH

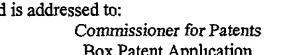
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CERTIFICATE OF EXPRESS MAIL
UNDER 37 C.F.R. §1.10

“Express Mail” mailing label number: EL893747639US
DATE OF DEPOSIT: February 14, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents
 Box Patent Application
 Washington, DC 20231


 Derrick Brown

Application Elements

1. Filing Fee

The filing fee is calculated as shown below.

Total Claims	62	-20=	42	x \$18.00=	\$756.00
Independent Claims	9	-3 =	6	x \$84.00=	\$504.00
Multiple Dependent Claims				Fee:	
				Basic Fee:	\$740.00
				Assignment Fee	\$40.00
				Total:	\$2,040.00

The Commissioner is hereby authorized to charge the filing fee and any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5717-01401/BNK.

2. Specification
15 page(s) of specification; 9 page(s) of claims, 1 page(s) of abstract
3. Drawings
Formal Figure(s) 1-5 on 2 sheet(s)
4. Oath or Declaration
 - Newly executed
 - Copy from a prior application (see 37 C.F.R. § 1.63(d))
Deletion of Inventor(s) (in continuation or divisional applications):
 - Delete the following inventor(s) named in the prior non-provisional application:

 The inventor(s) to be deleted are set forth on a signed sheet attached hereto.
5. The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - Computer Readable copy

Paper Copy (identical to computer copy)
 Statement verifying identity of above copies

8. Assignment Papers

9. Power of Attorney

Is attached.
 The power of attorney appears in the original papers of the prior application.
 Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10. Information Disclosure Statement (IDS)
 Copies of IDS Citations

11. Amendments

A preliminary amendment is enclosed.
 Cancel in this application claim(s) _____ before calculating the filing fee. At least one independent claim is retained for filing purposes.
 Amend the specification by inserting before the first line the sentence: _____.

12. Return Receipt Postcard

13. Small Entity Status

A small entity statement is enclosed.
 A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
 Is no longer claimed.

14. Priority of provisional application number 60/269,224, filed on February 14, 2001 is claimed under 35 U.S.C. §§ 119(a)-(e)

15. Petition under 37 C.F.R. § 136 for Extension of Time

16. Fee Authorization forms

Address all future correspondence to:

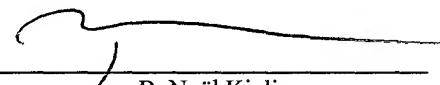
B. Noël Kivlin
Conley, Rose, & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767
Phone: (512) 476-1400 Fax: (512) 703-1250

Signature

Name

Registration No.

Date

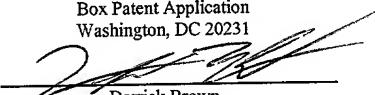

B. Noël Kivlin

33,929

February 14, 2002

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Atty. Dkt. No:	5717-01401	§
Inventor(s):	Yonglin Huang, Qi Deng and Liren Du	§
Title:	OPTICAL POLARIZATION BEAM SPLITTER/COMBINER WITH ISOLATION IN THE BACKWARD OPTICAL PATH	§

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Commissioner for Patents Box Patent Application Washington, DC 20231	
 Derrick Brown	

FEE AUTHORIZATION

Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5717-01401/BNK:

Fee: Assignment

Amount: \$40.00

Attorney Docket No.: 5717-01401

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5717-01401/BNK.

Respectfully submitted,


B. Noël Kivlin
Reg. No. 33,929
Attorney for Applicants

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Austin, Texas 78767-0398
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Date: February 14, 2002